BEFORE THE BOARD OF MEDICAL EXAMINERS

IN THE STATE OF ARIZONA

In the Matter of

ROBERT GULLEN, M.D.

Holder of License No. 2592 For the Practice of Medicine In the State of Arizona.

Case No. MD-01-0857

CONSENT AGREEMENT FOR A LETTER OF REPRIMAND

CONSENT AGREEMENT

By mutual agreement and understanding, between the Arizona Board of Medical Examiners ("Board") and Robert Gullen, M.D. ("Respondent"), the parties agreed to the following disposition of this matter at the Board's public meeting on June 5, 2002.

- 1. Respondent acknowledges that he has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order. Respondent acknowledges that he understands he has the right to consult with legal counsel regarding this matter and has done so or chooses not to do so.
- 2. Respondent understands that by entering into this Consent Agreement for the issuance of the foregoing Order, he voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Consent Agreement and the Order in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Order.
- Respondent acknowledges and understands that this Consent Agreement 3. and the Order will not become effective until approved by the Board and signed by its Executive Director.
- All admissions made by Respondent are solely for final disposition of this 4. matter and any subsequent related administrative proceedings or civil litigation involving

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the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or any other state or federal court.

- Respondent acknowledges and agrees that, although the Consent 5. Agreement has not yet been accepted by the Board and issued by the Executive Director, upon signing this agreement, and returning this document (or a copy thereof) to the Board's Executive Director, Respondent may not revoke his acceptance of the Consent Agreement and Order. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.
- Respondent further understands that this Consent Agreement and Order, 6. once approved and signed, shall constitute a public record document that may be publicly disseminated as a formal action of the Board.
- If any part of the Consent Agreement and Order is later declared void or 7. otherwise unenforceable, the remainder of the Order in its entirety shall remain in force and effect.

Lyd L. Hallen M. W.

Thomas G. Bakker. Attorney at Law (Counsel For Dr. Robert Gullen)

Reviewed and accepted this <u>47</u> day of <u>WM</u>

Reviewed and approved as to form this _____ day of ______

FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 2. Respondent is the holder of license number 2592 for the practice of allopathic medicine in the State of Arizona.
- 3. The Board initiated case number MD-01-0857 after receiving notice of a malpractice settlement.
- 4. On July 1, 1996, Patient K.O., a forty-eight year old female, presented for a routine gynecological examination. Respondent performed the examination, which included a Pap smear.
- 5. On July 8, 1996, Respondent received the Pap smear report, which indicated normal results.
- 6. An amended report, received telephonically on July 9, 1996, indicated epithelial cell abnormality, atypical glandular cells of undetermined origin significance (AGUS) suggested endometrial origin, many white blood cells, and noted that a more significant lesion could not be ruled out. No additional follow-up was recommended at that time.
- 7. On July 25, 1996, Respondent's office received a Pap Net report confirming the July 9, 1996, amended report. Respondent reviewed the amended report on August 13, 1996, and recommended a repeat Pap smear within three months.
- 8. Patient K.O. returned for a repeat Pap smear on October 17, 1996, and informed Respondent that she had experienced abnormal uterine bleeding since July 1996. A Pap smear was not performed during the visit.
- 9. Patient K.O. continued to complain of abnormal uterine bleeding in the subsequent months.

- 10. On January 31, 1997, a repeat Pap smear was performed. The report, received February 6, 1997, indicated AGUS and recommended evaluation for endometrial lesion or endocervical lesion. Despite the report, Respondent failed to do an office endometrial biopsy, D & C, hysteroscopy, or any other investigative procedure but suggested a colposcopy with cervical biopsy instead.
- 11. Patient K.O.'s abnormal bleeding continued and she sought a second opinion (Physician #2) on September 25, 1997. Physician #2 initiated a Pap smear and endometrial biopsy, which showed well-differentiated endometrial adenocarcinoma.
- 12. Patient K.O., on October 9, 1997, was required to undergo a radical hysterectomy, bilateral salpingo-oophorectomy, bilateral pelvic and periaoritic lymph node dissection, cul de sac washings and incidental appendectomy.
- 13. During an investigational interview, on March 26, 2002, with Board staff, Respondent admitted that there was a breakdown in office procedures when Respondent was not notified of the amended report and that his subsequent treatment of Patient K.O. was deficient.
- 14. Joseph Buxer, M.D., Board Medical Consultant, reviewed the case and concluded that Respondent was responsible for his employee's failure to notify him of the amended report, thus delaying proper treatment. Dr. Buxer also concluded that Respondent's failure to perform an office endometrial biopsy or any other investigative procedures after the second abnormal Pap smear fell below the standard of care.

CONCLUSIONS OF LAW

- The Board possesses jurisdiction over the subject matter hereof and over Respondent.
- 2. The conduct and circumstances described above in paragraph 6 to 14 constitute unprofessional conduct pursuant to A.R.S. § 32-1401(25)(q) ("[a]ny conduct or

practice which is or might be harmful or dangerous to the health of the patient or to the public.")

3. The conduct and circumstances described above in paragraph 6 to 14 constitutes unprofessional conduct pursuant to A.R.S. § 32-1401(25)(II)("[c]onduct that the board determines is gross negligence, repeated negligence, or negligence resulting in harm to or the death of a patient.")

ORDER

IT IS HEREBY ORDERED THAT:

- 1. Respondent is issued a Letter of Reprimand for his failure to timely review the amended Pap smear report and his failure to perform an office endometrial biopsy or any other investigative procedures after the second abnormal Pap smear.
 - 2. This Order is the final disposition of case number MD-01-0857.

DATED AND EFFECTIVE this 5th day of ________, 2002.



BOARD OF MEDICAL EXAMINERS
OF THE STATE OF ARIZONA

SLAUDIA FOUTZ, Executive Director

ORIGINAL of the foregoing filed this والمدالة المدالة المدالة

The Arizona Board of Medical Examiners 9545 E. Doubletree Ranch Road Scottsdale, AZ 85258

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| 1 | EXECUTED COPY of the foregoing mailed by Certified Mail this day of, 2002 |
| 2 | |
| 3 | Thomas G. Bakker, Esq. Olson Jantsch & Bakker, PA |
| 4 | 7243 N. 16 th St. Phoenix, AZ 85020-5203 |
| 5 | EXECUTED COPY of the foregoing mailed |
| 6 | this 6 day of, 2002 to: |
| 7 | Robert Gullen, M.D. |
| 8 | 1108 W. Indian School Road Phoenix, AZ 85013-3107 |
| 9 | EXECUTED COPY of the foregoing |
| 10 | hand-delivered this 6 day of, 2002, to: |
| 11 | Christine Cassetta, Assistant Attorney General |
| 12 | Sandra Waitt, Management Analyst Lynda Mottram, Compliance Officer |
| 13 | Investigations (Investigation File) |
| 14 | c/o Arizona Board of Medical Examiners 9545 E. Doubletree Ranch Road |
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